



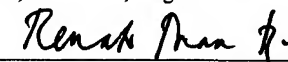
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cyrus R. Safinya et al. **Examiner:** Not yet known
Serial No.: 10/015,078 **Group Art Unit:** Not yet known
Filed: October 26, 2001 **Docket No.:** 30794.32USD1
Title: MACROMOLECULE-LIPID COMPLEXES AND METHODS FOR MAKING AND USING

CERTIFICATE UNDER 37 CFR §1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on April 13, 2004.


By: Renato Marco P. Domingo

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b)(3))

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

This Information Disclosure Statement is being filed herein as a supplement to Applicant's March 19, 2002, Information Disclosure Statements which were submitted under 37 C.F.R. §§1.97(b)(3). In accordance with 37 C.F.R. §1.98(d), copies of Exhibits 39-44s set forth in the Form 1449 are included herein.

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

- U.S. Patent No. 5,459,127 issued October 17, 1995 – **Exhibit 39**
- U.S. Patent No. 5,869,037 issued February 9, 1999 – **Exhibit 40**
- U.S. Patent No. 5,928,944 issued July 27, 1999 – **Exhibit 41**
- International Publication No. WO95/18863 published July 13, 1995 – **Exhibit 42**

- Liu, Feng et al., "New Cationic Lipid Formulations for Gene Transfer," *Pharmaceutical Research*, 1996, 13:1856-60 – **Exhibit 43**
- Sternberg, Brigitte, "Morphology of Cationic Liposome/DNA Complexes in Relation to Their Chemical Composition," *Journal of Liposome Research*, 1996, 6:515-33 – **Exhibit 44**

This statement should be considered because it is submitted before the mailing date of the first Office Action on the merits according to 37 C.F.R. §1.97(b)(3). In accordance with 37 C.F.R. §1.98(a)(2), copies of each document or other information listed on the enclosed Form 1449 are provided.

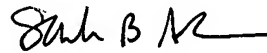
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that the references have been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. § 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

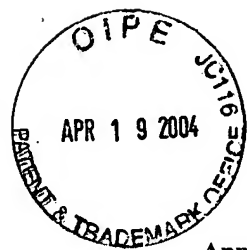
Cyrus R. Safinya et al.
Serial No. 10/015,078
Filed: October 26, 2001
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No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-0306.

Respectfully submitted,



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By: Renato Marco P. Domingo

Name: Renato Marco P. Domingo

55 S. Lake Avenue, Suite 710
Pasadena, California 91101
April 13, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR §1.8
- ☒ Supplemental Information Disclosure Statement (37 C.F.R. §1.97 (b)(3))
- ☒ Form 1449 (Information Disclosure Statement)
- ☒ Exhibits 39-44
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

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